Investor Charter for Stock Brokers

Annexure-B

Data for 30th September 2025

Sr. No.	Received from	Carried forward from previous month	Receiv ed during the month	Total Pending	Resolved*	Pending at the end of the month** Pending Pending		Average Resolution time/ \(in days)
						for less than 3 months	for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources(if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending **
1.	April-2025	0	0	0	0
2.	May-2025	0	0	0	0
3.	June-2025	0	0	0	0
4.	July-2025	0	0	0	0
5.	August-2025	0	0	0	0
6.	September-2025	0	0	0	0

 \land Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

Sr.No.		Carried forward from previous year			Pending at the end of the year
1	2021-22	0	1	0	1
2	2022-23	1	3	3	1
3	2023-24	1	5	6	-
4	2024-25	0	0	0	0
5	2025-26	0	0	0	0
	Grand Total	2	9	9	2

^{*}Should include complaints of previous months resolved in the current month, if any.

^{**}Should include total complaints pending as on the last day of the month, if any.